# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 Date of Report (Date of earliest event reported): June 21, 2022

## AEROVATE THERAPEUTICS, INC.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 001-40544 (Commission File Number) 83-1377888 (I.R.S. Employer Identification No.)

Aerovate Therapeutics, Inc. 930 Winter Street, Suite M-500, Massachusetts 02451 (Address of principal executive offices, including zip code)

(Address of principal executive offices, including zip code)				
(617) 443-2400 (Registrant's telephone number, including area code)  N/A (Former Name or Former Address, if Changed Since Last Report)				
☐ Written communications pursuant to Rule 425 under the	Securities Act (17 CFR 230.425	)		
☐ Soliciting material pursuant to Rule 14a-12 under the Ex	schange Act (17 CFR 240.14a-12	2)		
☐ Pre-commencement communications pursuant to Rule 1	4d-2(b) under the Exchange Act	(17 CFR 240.14d-2(b))		
☐ Pre-commencement communications pursuant to Rule 1	3e-4(c) under the Exchange Act	(17 CFR 240.13e-4(c))		
Securities registered pursuant to Section 12(b) of the Act:				
Title of each class	Trade Symbol(s)	Name of each exchange on which registered		
Common Stock, \$0.0001 par value per share	AVTE	The Nasdaq Global Market		
Indicate by check mark whether the registrant is an emergi	ing growth company as defined	in Rule 405 of the Securities Act of 1933 (8 230 405 of this		

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company ⊠

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\boxtimes$ 

#### Item 5.07 Submission of Matters to a Vote of Security Holders.

Aerovate Therapeutics, Inc. (the "Company") held its 2022 Annual Meeting of Stockholders (the "Annual Meeting") on June 21, 2022. As of April 22, 2022, the record date for the Annual Meeting, there were 24,410,393 shares of the Company's common stock outstanding and entitled to vote at the Annual Meeting. The Company's stockholders voted on the following matters, which are described in detail in the Company's Definitive Proxy Statement filed with the U.S. Securities and Exchange Commission on April 29, 2022: (i) to elect each of Timothy P. Noyes and Mark Iwicki as a Class I member of the board of directors, to serve until the Company's 2025 Annual Meeting of Stockholders and until his successor is duly elected and qualified ("Proposal 1"), and (ii) to ratify the selection of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2022 ("Proposal 2").

#### Proposal 1 - Election of Class I Director Nominees

The Company's stockholders approved the Class I director nominees recommended for election in Proposal 1 at the Annual Meeting. The results of Proposal 1 were as follows:

			Broker
Class I Director Nominee	Votes For	<b>Votes Withheld</b>	Non-Votes
Timothy P. Noyes	9,910,723	639,615	1,982,488
Mark Iwicki	8,927,284	1,623,054	1,982,488

#### Proposal 2 - Ratification of Appointment of the Company's Independent Registered Public Accounting Firm

The Company's stockholders ratified the selection of KPMG LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2022. The results of Proposal 2 were as follows:

For	Against	Abstain
12,532,242	401	183

No other matters were submitted to or voted on by the Company's stockholders at the Annual Meeting.

### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 22, 2022

**Aerovate Therapeutics, Inc.** 

By: /s/ George A. Eldridge

George A. Eldridge Chief Financial Officer