# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 Date of Report (Date of earliest event reported): October 11, 2024

# **AEROVATE THERAPEUTICS, INC.**

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation)

001-40544 (Commission File Number)

83-1377888 (I.R.S. Employer Identification No.)

Aerovate Therapeutics, Inc. 930 Winter Street, Suite M-500, Waltham, Massachusetts 02451 (Address of principal executive offices, including zip code)

(617) 443-2400 (Registrant's telephone number, including area code)

(Former Name or F	N/A Former Address, if Changed	Since Last Report)
Check the appropriate box below if the Form 8-K fil any of the following provisions:	ing is intended to simultaneously	satisfy the filing obligation of the registrant under
☐ Written communications pursuant to Rule 425 u	nder the Securities Act (17 CFR	230.425)
□ Soliciting material pursuant to Rule 14a-12 und	er the Exchange Act (17 CFR 24	0.14a-12)
☐ Pre-commencement communications pursuant to	o Rule 14d-2(b) under the Excha	inge Act (17 CFR 240.14d-2(b))
☐ Pre-commencement communications pursuant to	o Rule 13e-4(c) under the Exchar	nge Act (17 CFR 240.13e-4(c))
Securities registered pursuant to Section 12(b) of the	: Act:	
Title of each class	Trade Symbol(s)	Name of each exchange on which registered
Common Stock, \$0.0001 par value per share	AVTE	The Nasdaq Global Market
Indicate by check mark whether the registrant is an 230.405 of this chapter) or Rule 12b-2 of the Securit	0 00 1 3	defined in Rule 405 of the Securities Act of 1933 (§ 0.12b-2 of this chapter).
Emerging growth company ⊠		
If an emerging growth company, indicate by chec complying with any new or revised financial accoun	•	ected not to use the extended transition period for to Section 13(a) of the Exchange Act. ⊠

### Item 3.01 Notice of Delisting or Failure to Satisfy a Continued Listing Rule or Standard; Transfer of Listing.

On October 11, 2024, Aerovate Therapeutics, Inc. (the "Company") notified the Nasdaq Global Market ("Nasdaq") that the Company was not currently in compliance with the audit committee composition requirement of Nasdaq Listing Rule 5605(c)(2), due to the Company only having two current members on its audit committee of its board of directors (the "Board") as a result of the resignation of Maha Katabi, Ph.D. on October 6, 2024, which resignation was previously reported. To resolve this noncompliance, on October 17, 2024, the Board approved the appointment of director Habib J. Dable as a member of the Board's audit committee, effective as of October 17, 2024. As a result of this appointment, the Company regained compliance with Nasdaq Listing Rule 5605(c)(2).

#### Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

104 Cover Page Interactive Data File

### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Aerovate Therapeutics, Inc.

Date: October 17, 2024

By: /s/ George A. Eldridge

George A. Eldridge Chief Financial Officer